



1700 1713 07 Rec'd PCT/PTO 18 MAR 2002
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

E.L.G.
5/7/02
#4

In re application of: Hisae Soga, et al

Attorney Docket No.: 542-006-2

Application No.: 10/009,094

Group No.:

Filed: November 8, 2001

Examiner:

For: **PROCESS FOR PREPARING OLEFINIC LIVING POLYMERS**

Assistant Commissioner for Patents
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith additional references of which they are aware, which they believe may be material to the examination of this application and in respect of which they may have a duty to disclose in accordance with 37 CFR 1.56.

Kohara, Tadanao; "Syntheses and Applications of Terminally Functionalized Polyolefins"; Kobunshi, Vol.47, 74-77, February 1998, is relevant for the reason cited on page 2 of the application.

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Nancy S. Guth 3/7/02
Nancy S. Guth Date

COPY OF PAPERS
ORIGINAL FILED
RECEIVED
APR 22 2002
TC 1700


Enclosed is Form PTO-1449 along with copies of the references.

It is believed that this Information Disclosure Statement is being submitted before the date of mailing of the first Official Action. Therefore, the undersigned respectfully submits that no fee is due in accordance with 37 CFR §1.97(c). However, if there is a fee required, the U.S. Patent & Trademark is authorized to charge such fee to Deposit Account No. 23-0442.

Respectfully submitted,

Date:

7 March 2002



Thaddius J. Carvis, Registration No. 26,110
Ware, Fressola, Van Der Sluys & Adolphson LLP
Bradford Green, Building Five
755 Main Street, P.O. Box 224
Monroe, CT 06468

Telephone: (203) 261-1234
Customer No. 004955

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hisae Soga, et al

Attorney Docket No.: 542-006-2

Application No.: 10/009,094

Group No.:

Filed: November 8, 2001

Examiner:

For: PROCESS FOR PREPARING OLEFINIC LIVING POLYMERS

Assistant Commissioner for Patents
Washington, DC 20231

SUBMISSION OF REFERENCE COPIES

Sir:

Applicants enclose herewith copies of the references listed on Form PTO-1449 filed February 8, 2002 with the Information Disclosure Statement.

J. Am. Chem. Soc. 118, 10008-10009 (1996)

J. Am. Chem. Soc. 119, 3830-3831 (1997)

Macromolecules, 12, 814 (1979)

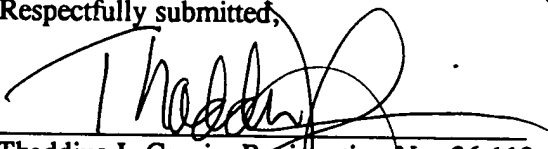
Macromolecules, 31, 3184 (1998)

Polym. prep., Japan, 46, 1601 (1997)

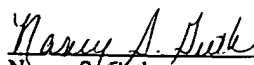
Shokubai, 37, 205 (1995).

COPY OF PAPERS
ORIGINALLY FILED

Respectfully submitted,


Thaddius J. Carvis, Registration No. 26,110
Ware, Fressola, Van Der Sluys & Adolphson LLP
Bradford Green, Building Five
755 Main Street, P.O. Box 224
Monroe, CT 06468
Phone: (203) 261-1234

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.


Nancy S. Guth

3/7/02
Date